

DW WKH WLPH R

DSSOLFDWLRQ

- x 3DFH 8QLYHUVLWLUVWVWIKLGHQ WHD UQZMUK DI \$FFRDXQW GQR
- %%\$ ,QIRUPDWLRQ QVQIGP\$QRO\WQFVZKR DUH DOVR
- x 3DFH 8QLYHUVLWLUVWVWXGWRU LIQIWKH H\$FURXQWLVQKH
- %%\$ 0%\$ SURJUDP RU WKH KRXU \$FFRXQWLQJ SUR
- x 3DFH 8QLYHUVLW\ \*UDGXDWH 0%\$ \$FFRXQWLQJ VWXG
- x 3DFH 8QLYHUVLW\ QDV 6LFK RWKH VFR RGHQHSUR%\$ DP GRU
- \$FFRXQWLQJ RU 6 & DQG 7HFKQRORJ\ DQG

R XSWR QHJUDGXDWLRQ

6FKRODUVKLS DZDUGV ZLOO EH JUDVXLHWL DQ DQDGRU DQDGDVH  
 PD\ EH UHQHZHG \WVXLPJKWH Q HDZOG RQH YLHHZHSGL HDSVOLF DWLHFH  
 DZDUG IRU QR PRUH WKDQ WKUHH DR DQWRLD QHGBU IGXWUQH DV  
 XQGHUJUDGXDWH VWXG\ DW 3DFH DV ORQJ DV WKH\ UHPDLQ  
 6WXGHQWV PXVW EH 86 FLWLJHQV WR EH HOLJLEOH IRU WKLV  
 IRU DOO DZDUG UHFLSLHQWV <RLX EKVIR UD WWH QG KVRKH DGLQLSH

'HDGOLQH IRU VFKRODUVKLS2F\$VROELFDWLRQV :HGQHVG D\

1HZ RUNLW VWXGHQW DSSOLFDWLRQV VKRXOG EH VHQW RU GURS  
 'U 6XVDQQH 21&DOODJKDQ VRFDOODJYORR#SDHMWHG1XHZ± <R3JNF

6WXGHQWV DSSO\LQJ IRU WKH VFKRODUVKLS PXVW  
&RPSOHWH WKH 6FKRODUVKLS )XQGDWUR(QFHO.3HQBULWQSF  
DQG SURIHVVLRQDOV DSSOLFDWLRQ  
\$WWDFK D FXUUHQW UHVXPH  
\$WWDFK D FXUUHQW WUDQVFULSW  
6XEPLW 7:2 UHFRPPHQGDWLRQ IRUPV  
6XEPLW D SHUVRQDO VWDWHPHQWRØLPLRHXOGVERH JUDQWGHV  
([FHOOHQFH LQ \$FFRXQWLQJ (GXFDWLRQDZ\D.ZDU\$DUWQHU  
6XEPLW D SURIHVVLRQDO VWDWHPHQW RQ KRZ \RX VHH \R)

)RU VXEPLVVLRQ GHWDLOV DQG TXHVVLRQV FRQWDFW  
'U %DUEDUD )DUUHOO [EIDUUHOO#SDFH HGX](#)



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7KH 6FKRODUVKLS DFGH LIR \$ FFRXQWLQJ 30 (GXFDWLRP  
3DUWQHUV DQG 3URIHVVLQRDOV \$SSOLFR

1 D P H

ODLOLQJ \$GGUHV

7HOHSKRQH  
&HOO SKRQH

( P D L O D G G U H V

&XUUHQWO\ (QUROOHG DV  
8QGHUJUDGXDW 6WXGHQW  
' -XQLR<sup>U</sup>HDU ± 0DMRU  
' 6HQLR<sup>V</sup>HDU ± 0DMRU  
' )LIWK \HDU ± 0DMRU

\*UDGXDW 6WXGHQW  
' % % \$ 0 % \$ VWXGHQW  
' 0 % \$ 0 6 6WXGHQW ± 0DMRU

/DZ 6FKRRO  
' 0 % \$ -'

+DYH \RX SUHYLRXVO\ DSSOLHG IRU FDKL RQ FFRXQWLQJ \$ FFR  
3DUWQHUV DQG 3URIHVVLQRDOV" ' <HV ' 12

+DYH \RX SUHYLRXVO\ UHFHLYHG WKHL RQ FFRXQWLQJ \$ FFR X  
3DUWQHUV DQG 3URIHVVLQRDOV" ' <HV ' 12

\$UH \RX D 8 6 &LWL]HQ" ' <HV ' 12

+DYH \RX FRPSOHWHG ,QWHUPHGLDWH \$FFRXQWLQJ , " ' <HV ' 12

:LOO \RX EH &3\$ H[DP HOLJLEOH E\ JUDGXDWLRQ" ' <HV ' 12

:LOO \RX EH HQUROOHG DV D IXOOGLSUL QWXGHQW HLQ ERWK )

7R EH HOLJLEOH IRU WKH VFKRODWHKESWRXVFRMWWHUMQUROO

\$UH \RX FXUUHQWO\ UHFHLYLQJ DW 3DYH \RX UHFHLYHG VFKR

,I VR ZKLFK RQH" BBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB  
3HUFHQWDJH RU DPRXQW RI VFKRODUVKLS UHFHLYHG BBBBBB

237,21\$/

(WKQLFLW\ ' \$IULFDQ \$PHULFDQ

' \$VLDQ \$VLDQ \$PHULFDQ RU 3DFLILF ,VODQGHU

' &DXFDVLDQ

' +LVSDQLF

' \$PHULFDQ ,QGLDQ SOHDVH LQGLFDWH WULEDO DIIL

' 2WKHU SOHDVH VSHFLI\

3(5621\$/ 67\$7(0(17

,Q ZRUGV RU ~~W~~ ~~H~~ ~~V~~ ~~K~~ ~~R~~ ~~Z~~ ~~O~~ ~~R~~ ~~H~~ ~~D~~ ~~W~~ ~~H~~ ~~H~~ ~~W~~ ~~D~~ ~~X~~ ~~U~~ ~~F~~ ~~D~~ ~~U~~ ~~H~~ ~~H~~ ~~G~~ ~~H~~ ~~Y~~ ~~H~~ ~~O~~ ~~R~~ ~~S~~ ~~L~~ ~~Q~~ ~~.~~  
(GXFDWLRQ 6FKRODUVKLS DZDUG

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352)(66,21\$/ 67\$7(0(17

,Q ZRUGV RU ~~W~~ ~~H~~ ~~V~~ ~~K~~ ~~R~~ ~~Z~~ ~~O~~ ~~R~~ ~~H~~ ~~D~~ ~~W~~ ~~H~~ ~~H~~ ~~W~~ ~~D~~ ~~X~~ ~~U~~ ~~F~~ ~~D~~ ~~U~~ ~~H~~ ~~H~~ ~~G~~ ~~H~~ ~~Y~~ ~~H~~ ~~O~~ ~~R~~ ~~S~~ ~~L~~ ~~Q~~ ~~.~~  
ILYH \H DUV VWDUWLRQ ~~Q~~ ~~G~~ ~~Z~~ ~~E~~ ~~W~~ ~~Q~~ ~~Y~~ ~~K~~ ~~R~~ ~~Z~~ ~~F~~ ~~H~~ ~~R~~ ~~W~~ ~~K~~ ~~Z~~ ~~.~~ ~~B~~ ~~X~~ ~~\*~~ ~~T~~ ~~U~~ ~~H~~ ~~F~~ ~~D~~ ~~X~~ ~~W~~ ~~U~~ ~~R~~ ~~Q~~ ~~J~~ ~~.~~  
WR EH KLUHG DIWHU JUDGXDWLRQ

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92/817((5 \$&7,9,7,(6

3OHDV\$H/YIRVXQWHHU DFWHLQ LVQYRVOYRHX KZLYHK EERWK ZLWKLQ  
8QLYHUVLW\ ZLWKLQ(\$56HEDVW/ GR QREW ORLOM SDMWYROXG  
DFWL \$HWXHVHPHQWV RI FRKLSAH DURU 127KRDQXQWHHU DFWL

'HVFULSWLRQ 3RVLWLRQ +HOG 0HPEHU RU 2IILFH  
\RX ZHUH DQ RGHVFWUL ESHOWDWHO

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, FHUWLI\ WKDW WGDVW/DWUXPHQNRWKEHEJWVPRISPUWDLQ VLRQ  
IRU WKH LQIRUPDWLRQ FURQWZLWQKGVKHUMFLCRWDRUE/KLSK DHOH

6     L    J    Q    D    W    X    U    H



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7KH 6FKRODUVKLS )XQGIRU ([FHOOHQFH LQ \$FFRXQWLQJ βGXFHWLIRQQDOV.30\*  
.30\* 3DUWQHUV DQG 3URIHVVLRRQDOV 6FKR  
5HFRPPHQGDWLRQ )RUP

7KH )XQGIRU ([FHOOHQFH LQ \$FFRXQWLQJ βGXFHWLIRQQDOV.30\*  
HVVDEOLVKHG E\ .30\* //& WR SURPRWHFKRQVXQGDWLRQDHH\$QHOE  
)XQG ZLOO SURYLGH VFKRODUVKLS DZDUGV RI IRU  
VSULQJ VPHVWHU HDFK WR RXWLQVWDELOLQJXEVQVXFKRQVZIK%  
TXDOLILHG DFFRXQWLQJ SURJUDP DQDQGRLEDLQVWDELOLQJ DQJ 6\*  
HOLJLEOH IRU WKHVH DZDUGV PXVMUQKESQVWLLDWKHHWCFRRDXWH

,Q ZKDW FDSDFLW\ KDYH \RX NQRZQ WKH DSSOLFDQW"

BB

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:KDW LV \RXU RYHUDOO UHFRPPHQGDWLRQ IRU WKLV FDQGLGD  
(GXFDWLRQ E\ .30\* 3DUWQHUV DQG 3URIHVVLRQDOV 6FKRODUV

' 6WURQJO\ UHFRPPHQG QG ' 5  
' 5HFRPPHQG ZLWK VRPH UHVHUYDWLRQ ' 'R QR

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:KDW DUH WKH DSSOLFDQW V SULRULVWUHSQMDKWHDCGVEHDE  
LQVWDQFHV RU DFFRPSOLVKPHQWV ZKLFK GHPRQVUDWH WKH

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7KH 6FKRODUVKLS )XQG IRU ([FHOOHQF



,Q ZKDW FDSDFLW\ KDYH \RX NQRZQ WKH DSSOLFDQW"

BB

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:KDW LV \RXU RYHUDOO UHFRPPHQGDWLRQ IRU WKLV FDQGLGD  
(GXFDWLRQ E\ .30\* 3DUWQHUV DQG 3URIHVVLRQDOV 6FKRODUV

' 6WURQJO\ UHFRPPHQG QG ' 5  
' 5HFRPPHQG ZLWK VRPH UHVHUYDWLRQ ' 'R QR

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:KDW DUH WKH DSSOLFDQW V SULRULV\ UHFRPPHQGDWLRQV ZH  
LQVWDQFHV RU DFFRPSOLVKPHQWV ZKLFK GHPRQVUDWH WKH

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